



11-18-02

DA / \$

PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE  
(Atty. Docket No. 96-2088-B)

First Named Inventor: Dai )  
Application No.: 10/010,644 )  
Filing Date: November 8, 2001 )  
Title: Methods for Highly Efficient )  
Generation of Adenoviral Vectors )  
Examiner: unassigned  
Group Art Unit: 1632  
Confirmation No.: 6950

**TRANSMITTAL LETTER**

With regard to the patent application identified above:

1. Enclosed please find a Petition Under 37 C.F.R. 1.137(b) for Revival of an Application for Patent Unintentionally Abandoned, a Response to the Notice to File Missing Parts of a Non-Provisional Application mailed January 10, 2002 ("Notice"); a copy of the Notice, a copy of the Declaration from the parent application for patent, a Petition for a Four Month Extension of Time; Check No. 40404, an Associate Power of Attorney, and a Return Receipt Postcard.
2. With respect to fees:
  - A. No additional fee should be required.
  - B. Attached is check no. 40404 in the amount of \$ 1965.00
3. Please debit any additional fees owed or credit any overpayments occasioned by this or other paper to Deposit Account No.13-2490. A duplicate copy of this sheet is attached for that purpose.
4. The undersigned states that this Transmittal Letter and the documents listed above are being deposited with the United States Postal Service as Express Mail (No. EV 214230242 US) in accordance with the provisions of 37 C.F.R. § 1.10 (M.P.E.P. § 513) in an envelope addressed to: Box DAC, Commissioner for Patents, Washington, D.C. 20231, on the date shown below.

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Date: November 15, 2002

11/19/2002 AWONDAF1 00000078 10010644

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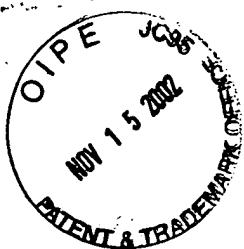
540.00 OP

Respectfully submitted,

Mark L. Chael, J.D., Ph.D.  
Reg. No. 44,601



McDonnell Boehnen Hulbert & Berghoff  
300 South Wacker Drive, 32nd Floor  
Chicago, IL 60606  
(312) 913-0001



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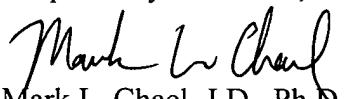
**RESPONSE TO THE NOTICE TO FILE MISSING PARTS OF A NON-PROVISIONAL  
APPLICATION FOR PATENT MAILED JANUARY 10, 2002**

Honorable Commissioner:

In response to the Notice to File Missing Parts of a Non-Provisional Application for Patent mailed January 10, 2001 (the "Notice"), the applicant respectfully requests acceptance of the enclosed copy of the Declaration from the parent application. The Declaration surcharge accompanies this response. A Petition for a four month extension of time and the requisite fee therefor also accompanies this response.

The Patent Office is invited to contact the undersigned at (312) 913-2117 if it is believed this would expedite prosecution of the subject application. The applicant contends that the application is in condition for allowance, prompt issuance of a Notice of Allowance is respectfully requested.

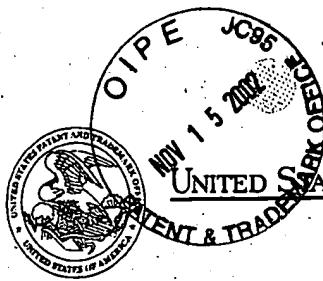
Respectfully submitted,

  
Mark L. Chael, J.D., Ph.D.  
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300 South Wacker Drive, 32nd Floor  
Chicago, IL 60606  
Telephone: 312-913-0001  
Facsimile: 312-913-0002

Date: November 15, 2002



## UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS  
 UNITED STATES PATENT AND TRADEMARK OFFICE  
 WASHINGTON, D.C. 20231  
[www.uspto.gov](http://www.uspto.gov)

APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
10/010,644	11/08/2001	Yifan Dai	96-2088-B

20306  
 McDONNELL BOEHNEN HULBERT & BERGHOFF  
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 SUITE 3200  
 CHICAGO, IL 60606

CONFIRMATION NO. 6950

## FORMALITIES LETTER



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Date Mailed: 01/10/2002

OFFICE OF PETITIONS  
**NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION**

## FILED UNDER 37 CFR 1.53(b)

*Filing Date Granted*

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The oath or declaration is missing.  
*A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.*
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(l) of \$65 for a small entity in compliance with 37 CFR 1.27, must be submitted with the missing items identified in this letter.
- **The balance due by applicant is \$ 65.**

The application is informal since it does not comply with the regulations for the reason(s) indicated below.

The required item(s) identified below must be timely submitted to avoid abandonment:

- Substitute drawings in compliance with 37 CFR 1.84 because:
  - more than one figure is present and each figure is not labeled "Fig." with a consecutive Arabic numeral (1, 2, etc.) or an Arabic numeral and capital letter in the English alphabet (A, B, etc.)(see 37 CFR 1.84(u)(1));

*A copy of this notice **MUST** be returned with the reply.*

*[Handwritten signature]*  
Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE